

Atty Docket No. 018867-000410US

PTO FAX NO.: (703) 872-9306

ATTENTION: Examiner Shamim Ahmed

Group Art Unit 1765

**OFFICIAL COMMUNICATION  
FOR THE PERSONAL ATTENTION OF**

**EXAMINER Shamim Ahmed**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

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I hereby certify that the following document(s) in re Application of SHUZO FUJIMURA et al., Application No. 09/328,939, filed June 9, 1999 for SURFACE TREATMENT METHOD AND EQUIPMENT are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (including Form SB08A and cited reference) (16 pages) .

Number of pages being transmitted, including this page: 18

Dated: January 28, 2004

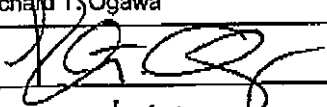
  
 Rosalinda M. Santos

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TOWNSEND and TOWNSEND and CREW LLP  
 Two Embarcadero Center, Eighth Floor  
 San Francisco, CA 94111-3834  
 Telephone: 650-326-2400  
 Fax: 650-326-2422  
 60128422 v1

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/328,939	
	Filing Date	June 9, 1999	
	First Named Inventor	Fujimura, Shuzo	
	Art Unit	1765	
	Examiner Name	Shamim Ahmed	
Total Number of Pages in This Submission	17	Attorney Docket Number	018867-000410US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement with attached Form SB08A (3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): A copy of the cited reference (Japanese patent and English abstract - (13 pages)
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
In response to the Office Action mailed July 25, 2003, the period for response being extended as a result of the enclosed petition and requisite fee, please make the enclosed of record.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP Richard T. Ogawa Reg. No. 37,692.
Signature	
Date	1/28/04

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9308 on January 28, 2004.	
Typed or printed name	ROSALINDA M. SANTOS
Signature	Date

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**PATENT**  
Attorney Docket No.: 018867-000410US

On \_\_\_\_\_

TOWNSEND and TOWNSEND and CREW LLP

By: \_\_\_\_\_

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

OFFICIAL

In re application of:

SHUZO FUJIMURA et al.

Application No.: 09/328,939

Filed: June 9, 1999

For: SURFACE TREATMENT  
METHOD AND EQUIPMENT

Examiner: Shamim Ahmed

Art Unit: 1765

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The reference cited on attached form PTO/SB/08B is being called to the attention of the Examiner. A copy of the reference is enclosed.

It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

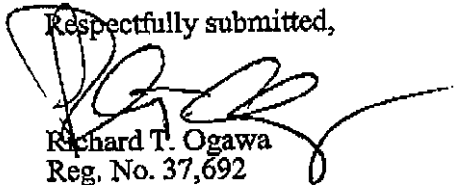
SHUZO FUJIMURA et al.  
Application No.: 09/328,939  
Page 2

PATENT

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Applicants believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Richard T. Ogawa  
Reg. No. 37,692

TOWNSEND and TOWNSEND and CREW LLP  
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PTO/SB/08A (08-03)

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<i>Complete If Known</i>	
		Application Number	09/328,939
		Filing Date	June 9, 1999
		First Named Inventor	Fujimura, Shuzo
		Art Unit	1765
		Examiner Name	Shamim Ahmed
Sheet	1	of	1
		Attorney Docket Number	018867-000410US

U.S. PATENT DOCUMENTS*						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>8</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AA	JP	7-75229		04-23-1993	Abstract	<input type="checkbox"/>	
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Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.  
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